Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAY-18**

of M/s. Strongman Securities (Pvt) Ltd

Submission Date 14-JUN-2018 12:15:02

| | | | Page | 1 Of 3 |
|-------|---|-----------------------|--------------------------|-----------------------|
| S.No. | Head of Account | Value in Pak Rupee | Hair Cut/ Adjustments | Net Adjusted Value |
| 1 | Assets | | | |
| 1.1 | Property & Equipment | 3,927,207 | 3,927,207 | |
| 1.2 | Intangible Assets | 4,000,000 | 4,000,000 | |
| 1.3 | Investment in Govt. Securities Investment in Debt. Securities | | | |
| | If listed than: | | | |
| | i. 5% of the balance sheet value in the case of tenure upto 1 year. | | | |
| | ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. | | | |
| | If unlisted than: | | | |
| | i. 10% of the balance sheet value in the case of tenure upto 1 year. | | | |
| | ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. | | | |
| 1.5 | Investment in Equity Securities | | | |
| | i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for | 4,532,649 | 716,815 | 3,815,834 |
| | respective securities whichever is higher. ii. If unlisted, 100% of carrying value. | 14,896,159 | 14,896,159 | |
| | iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money | 11,000,100 | 11,000,100 | |
| | provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities | | | |
| | that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) | | | |
| | Provided that 100% haircut shall not be applied in case of investment in those securities which are | | | |
| | Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in | | | |
| | schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) | | | |
| 1.6 | Investment in subsidiaries | | | |
| 1.7 | Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities | | | |
| | whichever is higher. | | | |
| 1.8 | ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository | 932,500 | 932,500 | |
| 1.0 | or any other entity. | 932,500 | 932,500 | |
| 1.9 | Margin deposits with exchange and clearing house. | 4,000,000 | 0 | 4,000,000 |
| 1.10 | Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments | | | |
| 1.12 | Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) | | | |
| 1.13 | 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables. | | | |
| 1.13 | Amounts receivable against Repo financing. | | | |
| | Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) | | | |
| 1.15 | i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months | | | |
| 1.16 | ii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s) | | | |
| 1.10 | i. 100% value of claims other than those on account of entitlements against trading of securities in all | 0 | 0 | |
| | markets including MtM gains. | | | |
| 1.17 | ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers | 4,244,469 | 0 | 4,244,469 |
| | i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the | | | |
| | blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) | | | |
| | market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. | | | |
| | ii. Incase receivables are against margin trading, 5% of the net balance sheet value. | | | |
| | ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as | | | |
| | collateral upon entering into contract, | | | |
| | iii. Net amount after deducting haricut | 4 7 40 700 | | 4 7 40 700 |
| | iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value | 1,743,739 | 0 | 1,743,739 |
| | v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market | | | |
| | value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of | | | |
| | securities held as collateral after applying VaR based haircuts. | | | |
| 1 10 | vi. 100% haircut in the case of amount receivable form related parties. | 1,093,682 | 1,093,682 | |
| 1.18 | Cash and Bank balances i. Bank Balance-proprietory accounts | 101,772 | 0 | 101,772 |
| | ii. Bank balance-customer accounts | 4,663,299 | 0 | |
| | iii. Cash in hand | 60,084 | 0 | |

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAY-18**

of M/s. Strongman Securities (Pvt) Ltd

Submission Date 14-JUN-2018 12:15:02

| 3.No. State Of Account Value 41 (Value 42) State Court Adjunctions State Adjuncted Value 111 Introd Accels 44.195.50 25.06.30 19.020.197 2 Introd Accels 64.795.50 25.06.30 19.020.197 2 Introd Accels 6,791.50 0 6.701.00 2 Current Labilities 6,791.50 0 6.701.00 3 Reduct Payabols 6,791.50 0 6.701.00 4 State Courter Labilities 6,791.50 0 4.195.60 1.State Line Intercompting 4.538.007 0 4.195.607 0 4.195.607 1.State Line Intercompting 6.901.00 0 4.195.607 0 4.195.607 1.State Line Intercompting 1.501.00 0 4.195.607 0 4.195.607 1.State Line Intercompting 1.501.00 0 4.195.607 0 4.195.607 1.State Line Intercompting Interplate and Included Inter Intercomption | | Submission Date 14-3010-2010 12.13.02 | | Page | 2 Of 3 |
|---|-------|--|------------|------------|------------|
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| 21 Index Psychia 970.028 9.701.028 22 Outmat Labellities and there and the decision 9.701.028 0.701.028 23 Outmat Labellities 4.138.607 0.4138.607 24 Outmat Labellities 0.701.028 0.701.028 25 Outmat Labellities 0.701.028 0.701.028 24 Outmat Labellities 0.701.028 0.701.028 25 Outmat Labellities 0.701.028 0.701.028 26 Outmat Labellities 0.701.028 0.701.028 27 Outmat Labellities 0.701.028 0.701.028 28 Outmat Labellities 0.701.028 0.701.028 29 Non-Current Labellities 0.701.028 0.701.028 20 Non-Current Labellities 0.701.028 0.701.028 21 Non-Current Labellities 0.701.028 0.701.028 22 Non-Current Labellities 0.701.028 0.701.028 29 Non-Current Labellities 0.701.028 0.701.028 20 Non-Current Labellities 0.701.028 0.701.028 21 Non-Curren | 1.19 | Total Assets | 44,195,560 | 25,566,363 | 18,629,197 |
| Inspace Inspace Inspace Inspace Bayable to cutomes 5.70.928 0 Synthetic cutomes 5.70.928 0 5.70.928 0 The Control Libbilities 5.70.928 0 5.70.928 0 Inspace 4.136.007 0 4.136.007 0 4.136.007 Inspace State and st | 2 | Liabilities | | | |
| II. Provide against theorem to make (module): 0 0 III. Provide sociations 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 5.701.628 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.136.607 0 4.137.607 0 4.147.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 4.047.718 0 1.016.716.716.716.716.716.716.716.716.716.7 | 2.1 | Trade Payables | | | |
| II. Provide to Customers 5,701,828 0 5,701,828 22 Current Liabilities 4,196,607 0 4,196,607 33 Current Liabilities 4 0 4,196,607 0 4,196,607 34 Current Liabilities 4 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,196,607 0 4,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,173 0 44,174 0 44,174 0 44,174 0 44,174 0 44,174 0 44,174 0 44,174 0 44,174 0 44,174 0 44,174 0 10, | | | | | |
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| Is Statutory and stapplants 4,136,607 II. Accurate and the payables. 4,136,607 II. Statut-tarm borowing 4,136,607 V. Corent Topolon of fors pain and ions. | 2.2 | | 5,701,920 | 0 | 5,701,920 |
| III. Start-term borrowing. III. Start-term borrowing. III. Start-term borrowing. VL Determed Likelities. 404.713 VL Dete | | | | | |
| v. Curent polition of subcellinated learn | | ii. Accruals and other payables | 4,136,607 | 0 | 4,136,607 |
| v. Current postion of long term liabilities | | | | | |
| vi Defend Liabilities vi Defend Liabilities 404,713 0 401,713 404,713 0 </td <td></td> <td></td> <td></td> <td></td> <td></td> | | | | | |
| will Providen Tor Labellities 404,713 0 | | vi. Deferred Liabilities | | | |
| is. Other liabilities age are accounting principles and included in the financial statements Image: Control Liabilities age are accounting principles and included in the financing obtained from 481,0000 481,000 481,000 481,000 481,000 481,000 481,000 481 | | | 404 740 | 0 | 40.4.740 |
| 23 Non-Current Liabilities Image: State S | | | 404,713 | 0 | 404,713 |
| a Long-Term financing obtained from financial institution. Long term portion of financing obtained from 481,000 481,000 b. Other long-term financing 1 List of terms financing obtained share capital allows the proposed enhanced share capital 1 b. Bood of Directors of the company has approved the increase in capital 1 c. Relevant Regulatory approvals have begins the obsard all regulatory requirements 0 c. Audit is shaffed that bach advance is approved in the specified by SECP are allowed to be deducted: 1 the obsard financial statements 0 0 24 Subordinated Loars which fulfill the conditions specified by SECP are allowed to be deducted: 1 the shaffed that back advance is approved in was begins the indicated Loars which fulfill the conditions appecified by SECP 0 25 lotal Liabilities 1 0 100% of Subordinated Loars which fulfill the conditions appecified by SECP 1 1 25 lotal Liabilitites Relating to: 1 <t< td=""><td>2.3</td><td></td><td></td><td></td><td></td></t<> | 2.3 | | | | |
| a Long-Term financing obtained from financial institution. Long term portion of financing obtained from 481,000 481,000 b. Other long-term financing 1 List of terms financing obtained share capital allows the proposed enhanced share capital 1 b. Bood of Directors of the company has approved the increase in capital 1 c. Relevant Regulatory approvals have begins the obsard all regulatory requirements 0 c. Audit is shaffed that bach advance is approved in the specified by SECP are allowed to be deducted: 1 the obsard financial statements 0 0 24 Subordinated Loars which fulfill the conditions specified by SECP are allowed to be deducted: 1 the shaffed that back advance is approved in was begins the indicated Loars which fulfill the conditions appecified by SECP 0 25 lotal Liabilities 1 0 100% of Subordinated Loars which fulfill the conditions appecified by SECP 1 1 25 lotal Liabilitites Relating to: 1 <t< td=""><td></td><td>i. Long-Term financing</td><td></td><td></td><td></td></t<> | | i. Long-Term financing | | | |
| b. Other Lon-term financing | | a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from | 481,000 | 481,000 | |
| II. Staff relixement benefits II. Staff relixement benefits III. Advance against shares for increase in Capital of Securities broker 100% haircut may be allowed in respect of advance against shares for increases in capital III. Staff relixement benefits III. B. Boad of Directors of the company has approved the increase in capital III. Staff relixements IIII. Staff relixements III. C. fieldword Regulatory approvals how been columinal IIII. Staff relixements IIIII. Staff relixements relating to the increase in paid up capital how been completed. IIIIIIIIII. Staff relixements IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII | | | | | |
| III. Advance against shares for incease in Capital of Securities troker. 100% halicut may be allowed in respect of advance against shares for incease in capital allows the proposed enhanced share capital . a. The existing authorized share capital allows the proposed enhanced share capital . . c. Relevant Regulatory approvals have been obtained . . . d. These is nu unreasonable doily in issue of shares against advance and all regulatory requirements inating to the incease in paid up capital have been completed on plint. . . w. Obtained to advance against advance and all regulatory requirements inating to the incease in paid up capital have been completed on plint. . . w. Obtained to advance against advance and all regulatory requirements inating to the incease in paid up capital faile were completed on the incease in capital and included in the financial statements. . . . 244 Subordinated lears which fulfill the conditions are specified by SECP and incease incease advance advance against subordinated lears which fulfill the conditions are specified by SECP . . . a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months incodinated lears which do not fulfill the conditions are specified by SECP . . . 25 Iotal Liabilities Relating to : . . . | | | | | |
| a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been completed. e. Author is not measonable delay in such as particed the increase in capital 0 e. Author is such advances is agains the increase of capital. 0 e. Author is such advances is agains the increase of capital. 0 v. Other liabilities as part accounting principles and included in the financial statements 0 0 c. Internation of subordinated loans which fulfill the conditions subordinate does which fulfill the conditions subordinate does which dulit the conditions subordinate does which fulfill the conditions subordinate does which dulit the conditions specified by SCP metal statement must be subulited to exchange. 10.724.248 481.000 10.243.248 31 Concentration in Margin Financing. 10.724.248 481.000 10.243.248 32 Concentration in Margin Financing. 10.724.248 481.000 10.243.248 33 Concentration in Margin Financing. 10.724.248 481.000 10.243.248 10.724.248 481.000 | | | | | |
| b. Boad of Directos of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been obtained difference d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements difference is Auditor is satisfied that such advance is against advance and all regulatory requirements difference is Auditor is satisfied that such advance is against the increase of capital 0 0 2.4 Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: 0 0 the Soft Subordinated loans which fulfill the conditions appecified by SECP are allowed to be deducted: 0 0 a loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 17 months of repair fulfill month thich regargable within next 12 months: 0 0 2.5 Total Liabilities Relating to : 10.724,248 481.000 10.243,248 3.1 Concentration in Margin Financing 0 0 10.724,248 481.000 10 Concentration in securities lending and borrowing 0 0 10.724,248 481.000 10.243,248 3.1 Concentration in securities prediged as | | | | | |
| C. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in lise of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. w. Other liabilities as per accounting principles and included in the financial statements 0 0 0 24 Subordinated Loans 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted. The Scheduel III provides that 10% shared on stamp appare and must clearly reflect the amount to be repaid a loan agreement must be executed on stamp appare and must clearly reflect the amount to be repaid a fier 12 months of reporting period b. No haicut will be allowed against short term portion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and reviked Liquid Capital statement must be excurited to exchange. if. Subordinated clearls to exchange if. Subordinated clearls to exchange if. Subordinated clearls to exchange if. Concentration in Margin Financing The amount calculated clearl-to-clear bas by which any amount receivable from any of the finances exceed 10% of the aggregate of if. Quantum deposited of securities lead for torwing The amount by which the aggregate of if. Quantum deposited of securities lead to receivable from total finances. if. Quantum deposited of securities pledged as margins exceed the 110% of the market value of shares borowed if. Other withing Commitments if. On the case of right issue where the market pice of the securities. if the market pice of securities is greater than the subscription pice. The aggregate of. if) the case of right issue there the underwitting commitments and if) the case of right issue where the market pice of the securities. if the market | | | | | |
| elating to the increase in paid up capital have been completed. 0 e.Auditor is satisfied that such advance is against the increase of capital. 0 24 Subordinated Loans 0 100% of Subordinated Loans which fulfil the conditions specified by SECP are allowed to be deducted. The Scheduel II provides that 10% hatcur will be allowed against subordinated Loans which fulfil the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period 0 2.5 Total labilities 10,724,248 481,000 10,243,246 2.5 Total Liabilities 10,724,248 481,000 10,243,246 3.1 Concentration in Margin Financing The amount calculated clent +oc. clent basis by which any amount receivable from any of the financees exceed 10% of the aggregate of (i) Amount deposited clent +oc. clent basis by which any amount receivable from any of the financees exceed 10% of the aggregate of (ii) Cash margins paid and (iii) the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 0 0 3.3 Net underwriting Commitments (i) the case of right issue: the market pale of securities is less than or equal to the subscription price, the aggregate of. (i) the b3% of thaicut multiplied by the underwriting commitments and (ii) the case of right issue: the underwriting commitments and (ii) the case of right issue where the market price of securities is greater than the subscr | | | | | |
| e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities are accounting principles and included in the financial statements 0 0 2.4 Subordinated Loans iv. Other liabilities are per accounting principles and included in the financial statements 0 0 conditions specified by SCP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short ferm portion which is repayable within next 12 months c. In case of early repayment of foran, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP ii. Subordinated loans which do not fulfill the conditions specified by SECP iii. Subordinated loans which do not fulfill the conditions specified by SECP iii. Subordinated loans which do not fulfill the conditions specified by SECP iii and revised Liquid Capital statement must be submitted to exchange. iii. Subordinated loans which do not fulfill the conditions specified by SECP iii and the second to second by SeCP. iii and the aggregate of amount stecelvable from total finances. iii and the aggregate of amount stecelvable from total finances. iii and the aggregate of amount stecelvable from total finances. iii and the aggregate of amount stecelvable from total finances. iii and the securites pledged as margins exceed the 110% of the market value of shares borrowed iii the market value of securites is less than or equal to the subscription price: the aggregate of amount stecelvable from total finances. iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii | | | | | |
| iv. Other liabilities are accounting principles and included in the financial statements 0 0 2.4 Subordinated Loans 100% of subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule ill provides that 10% hancur will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. b. No haicut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of foan, adjustment shall be made to the liquid Capital and revised Liquid Capital statement must be submitted to exchange. 10,724,248 481,000 10,243,246 3 Ranking Liabilities Relating to : 10,724,248 481,000 10,243,246 3.1 Concentration in Margin Financing The amount scleukide foint total finances. 10 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from any of the finances exceed 10% of the aggregate of amounts receivable from any of the finances. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. | | | | | |
| 2.4 Subordinated Loans | | iv. Other liabilities as per accounting principles and included in the financial statements | 0 | 0 | |
| The Schedule III provides that 100% haircut will be allowed against subordinated Loars which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months or expansion which is repayable within next 12 months. c. In case of early repayment of 10 ear. Agricystment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loars which do not fulfill the conditions specified by SECP 10,724,248 481,000 2.5 Total Liabilities 10,724,248 481,000 3 Ranking Liabilities Relating to : 10,724,248 481,000 3.1 Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financees exceed 10% of the agregate of amounts receivable from total financees. 10 3.2 Concentration in securities lending and borrowing 10 10 Ihe amount datued client-to-client basis by which any amount receivable from total financees. 10 10 3.2 Concentration in securities lending and borrowing 10 10 10 Ihe anount by which the aggregate of: 10 10 10 10 10 3.3 Net underwriting commitments 10 10 10 | 2.4 | | | | |
| 3 Ranking Liabilities Relating to : | | The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. | | | |
| 3 Ranking Liabilities Relating to : | 2.5 | Total Liabilitas | 10 724 248 | 481.000 | 10 2/3 2/8 |
| 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. | | | 10,724,240 | 401,000 | 10,243,240 |
| The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (1) Amount deposited by the borrower with NCCPL (1) Cash margins paid and (1ii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issues : if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary 3.5 Foreign exchange agreements and foreign currency positions 3.5 Foreign exchange agreements and foreign currency positions 3.6 Amount Payable under REPO | | | | | |
| 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (i) the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the solut of the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the nuderwriting commitments (iii) the value of subsidiary 3.4 Negative equity of subsidiary (excluding any amount due from the subscription price, 5% of the Haircut multiplied by the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 3.5 Foreign exchange agreements and foreign currency position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency (a) 3.5 Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency (a) 3.6 Amount Payable under REPO (a) (b) | | | | | |
| The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (a) for easy of the subsidiary (excluding any amount due from the subsidiary) 3.5 Foreign exchange agreements and foreign currency positions (a) for here to all isbilities of the subsidiary (excluding any amount due from the subsidiary) 3.6 Amount Payable under REPO (b) any other case: 12.5% of the net subsidiary (excluding any amount due from the subsidiary) | | financees exceed 10% of the aggregate of amounts receivable from total financees. | | | |
| (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency 3.6 Amount Payable under REPO | 3.2 | | | | |
| (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments | | | | | |
| borrowed a.a. 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO | | | | | |
| 3.3 Net underwriting Commitments | | | | | |
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| (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO | | | | | |
| In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (a) | | | | | |
| the Haircut multiplied by the net underwriting | | | | | |
| (b) in any other case : 12.5% of the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (b) in any other case : 12.5% of the net total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (c) | | the Haircut multiplied by the net underwriting | | | |
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| exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO | 3.4 | Negative equity of subsidiary | | | |
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| 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO | 3.5 | | | | |
| assets denominated in foreign cuurency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO | | | | | |
| | | assets denominated in foreign cuurency less total liabilities denominated in foreign currency | | | |
| | | | | | |
| | 3.1 | ואפירי מעושגווויפוונ | | | |

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAY-18**

of M/s. Strongman Securities (Pvt) Ltd

Submission Date 14-JUN-2018 12:15:02

| | | | Page | 3 Of 3 |
|-------|---|-----------------------|--------------------------|-----------------------|
| S.No. | Head of Account | Value in Pak Rupee | Hair Cut/ Adjustments | Net Adjusted Value |
| | In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. | | | |
| 3.8 | Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security | 85,730 | 85,730 | 85,730 |
| 3.9 | Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met | | | |
| 3.10 | Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. | | | |
| 3.11 | Total Ranking Liabilites | 85,730 | 85,730 | , |
| 3.12 | Liquid Capital | 33,385,582 | 24,999,633 | 8,300,219 |